JUN 0 2 2003 &

Docket No.: PF-0565 USN

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450 on May 30, 2003.

Printed: NANCY RAINOS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hillman et al.

Title:

PHOSPHORYLATION EFFECTORS

Serial No.:

09/744,794

Filing Date:

October 5, 2001

Examiner:

To Be Assigned

Group Art Unit:

1646

Filing Receipt Corrections
Office of Initial Patent Examination,
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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JUN 2 3 2003

Sir:

Transmitted herewith are the following for the above-identified application:

TRANSMITTAL FEE SHEET

TECH CENTER 1600/2900

- 1. Return Receipt Postcard; and
- 2. Request for Corrected Filing Receipt (2 pp);
- 3. Official Filing Receipt (with red-lined markings (2 pp);
- 4. Copy of Application Transmittal with Express Certificate of Mailing dated 1/26/01 (2 pp); and
- 5. Copy of First Page of Corrected Version of Published PCT/US99/17132.

The fee has been calculated as shown below.

X No additional Fee is required.

Fee for Petition for Extension of Time Under 37 CFR - 1.17(a) - Mo(s).

X Please charge Deposit Account No. 09-0108 in the amount of:

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

INCYTE CORPORATION

Date: 30 May 7003

Diana Hamlet-Cox

Reg. No. 33,302

Direct Dial Telephone: (650) 845-4639

3160 Porter Drive

Palo Alto, California 94304 Phone: (650) 855-0555 Fax: (650) 845-4166

110540

1



Docket No.: PF-0565 USN

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>May **30**, 2003</u>

Printed: Nancy Ramos

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

In re Application of:

Hillman et al.

JUN 2 3 7003

Title:

PHOSPHORYLATION EFFECTORS

TECH CENTER 1600/2900

Serial No.:

09/744,794

Filing Date:

October 5, 2001

Examiner:

To Be Assigned

Group Art Unit:

1646

Filing Receipt Corrections Office of Initial Patent Examination Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the Official Filing Receipt (with red-line markings to note changes) received from the United States Patent and Trademark Office (USPTO) in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows:

- Under the Section entitled, "IND ClAIMS" please replace, "3" with --2 --;
- Under the Section entitled, "Domestic Priority data as claimed by applicant," please replace, "This application is a 371 of PCT/US99/17132 07/28/1999 and is a CIP of 09/123,494 07/28/1998 ABN which is a CIP of 09/152,814 09/14/1998 ABN which is a CIP of 09/173,482 10/14/1998 ABN which is a CIP of 60/106,889 11/03/1998 This application 09/744,794 is a CIP of 06/109.093 11/19/1998 and is a CIP of 60/113,796 12/22/1998 and is a CIP of 09/229,005 01/12/1999 ABN" with -- This application is a 371 of PCT/US99/17132 07/28/1999 which claims benefit of 60/155,213 07/28/1998 and 60/155,196 09/14/1998 and 60/106,889 11/03/1998 and 60/155,239 10/14/1998 and 60/109,093 11/19/1998 and 60/113,796 12/22/1998 and 60/155,233 01/12/1999 --.

Docket No.: PF-0565 USN

Applicants hereby request that the above corrections be entered based on the following enclosed documents:

- 1. Copy of First Page of Corrected Version of Published PCT/US99/17132
- 2. Copy of Application Transmittal with Express Certificate of Mailing dated 01/26/01 (2 pp).

Applicants believe that no fee is due with this paper. However, if the Commissioner determines that a fee is necessary, the Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. **09-0108.**

Respectfully submitted,

INCYTE CORPORATION

Date: May 30,2003

Diana Hamlet-Cox

Reg. No. 33,302

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Customer No.: 27904 3160 Porter Drive

Palo Alto, California 94304 Phone: (650) 855-0555

Fax: (650) 845-4166



Incyte Genomics Inc

Legal Department 3160 Poter Drive Palo Alto, CA 94304 APP 9 1 2000

IND CLAIMS DRAWINGS TOT CLAIMS FIL FEE REC'D ATTY.DOCKET.NO GRP ART UNIT APPLICATION NUMBER FILING DATE PF-0565 3,2 20 990 1646 10/05/2001 09/744,794 USN

CONFIRMATION NO. 4717

FILING RECEIPT

1 CANTON TOTA HIL SHAR COME CLIN CHAN COME COME COME COME CHAN COME COME

Date Mailed: 04/18/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing -746-9195. Please provide a copy of this Filing Receipt with the Receipt Corrections, facsimile number 703 changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jennifer L. Hillman, Mountain View, CA; Preeti Lal, Santa Clara, CA; Y Tom Tang, San Jose, CA; Neil C Corley, Castro Valley, CA; Karl J Guegler, Menlo Park, CA; Mariah R Baughn, San Leandro, CA; Chandra Patterson, Menlo Park, CA; Olga Bandman, Mountain View, CA; Janice Au -Young, Brisbane, CA; Gina A Gorgone, Boulder Creek, CA; Henry Yue, Sunnyvale, CA; Yalda Azimzai, Castro Valley, CA; Roopa Reddy, Sunnyvale, CA; Dyung Aina M Lu, San Jose, CA; Leo L Shih, East Palo Alto, CA;

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Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US99/17132 07/28/1999 and is a CIP of 09/123,494 07/28/1998 ABN which is a CIP of 09/152,814 09/14/1998 ABN which is a CIP of 09/173,482 10/14/1998 ABN which is a CIP of 60/106,889 11/03/1998 This application 09/744,794 is a CIP of 60/109,093 11/19/1998 and is a \(\mathbb{L} \) IP of 60/113,796 12/22/1998

THIS APPLICATION IS A 37, OF 17 277 1132 77 -1791 Concert J. A.M. Brokes 11 194 12,155, 213 17, 24,7448 ALD 20, 155, 19x 39,44/1998 AWB 2.104. 589 11. (3/199, AND 67/155, 239 , 114 1941 END 60/109, 193 11/19, 149, AND 60/1.3, 241 . 2, 22/1918 AND 160/155, 233 01/12/1999

and is a CIP of 09/229,008 01/12/1999 ABN

Foreign Applications

Projected Publication Date:

None, application is not eligible for pre -grant publication

Non-Publication Request:

Nο

Early Publication Request:

Nο

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Title

Phosphorylation effectors

TECH CENTER 1600/2900

Preliminary Class

514

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121 -128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

FORM PI O-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER PF-0565 USN

U.S. APPLICATION NO. (If known, see 3" CFR 1.5) TO BE ASSIGNED

INTERNATIONAL APPLICATION NO. PCT/US99/17132

INTERNATIONAL FILING DATE 28 July 1999

PRIORITY DATE CLAIMED 28 July 1998

TITLE OF INVENTION

PHOSPHORYLATION EFFECTORS

APPLICANT(S) FOR DO/EO/US

INCYTE PHARMACEUTICALS, INC.; HILLMAN, Jennifer L.; LAL, Preeti; TANG, Y. Tom; CORLEY, Neil C.; GUEGLER, Karl J.; BAUGHN, Mariah R.; PATTERSON, Chandra; BANDMAN, Olga; AU-YOUNG, Janice; GORGONE, Gina A.; YUE, Henry; AZIMZAI, Yalda; REDDY, Roopa; LU, Dyung Aina M.; SHIH, Leo :L.

Applicant herewith submits to the United States Designated Elected Office (DO-EO US) the following items and other information:

- 1. × This is the FIRST submission of items concerning a filing under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
- 4. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- 5. ★ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau)
 - b. I has been communicated by the International Bureau.
 - c. X is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. × Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. Lare attached hereto (required only if not communicated by the International Bureau).
 - have been communicated by the International Bureau.
 - c. I have not been made; however, the time limit for making such amendments has NOT expired.
 - d. × have not been made and will not be made.
- 8. The An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10.7 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. ThAn assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.27 and 3.31 is included.
- A FIRST preliminary amendment. 13
 - A SECOND or SUBSEQUENT preliminary amendment.
- 14. A substitute specification.
- 15. A change of power of attorney and or address letter.
- 16. × Other items or information:
- 1) Transmittal Letter (2 pp, in duplicate)
- 2) Return Postcard
- 3) Express Mail Label No.: EL 743 380 044 US

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JUN 2 3 2003

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U.S. APPLICATION NO. (if known, see 3" CFR 1.5) TO BE ASSIGNED		INTERNATIONAL APP PCT/US99/17132	1.11216		'S DOCKET NUMBER	
17. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO					RECEIVED JUN 2 3 2003 TECH CENTER 1600/290	
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$690.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than $= 20 = 30$ months from the earliest claimed priority date (37 CFR 1.492(e)).					S	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	20 =	()	X \$ 18.00		S	<u> </u>
Independent Claims	2 =	0	X \$ 80.00		s	
MULTIPLE DEPEN	DENT CLAIM(S) (if appli	cable)	- \$270.00		S	
TOTAL OF ABOVE CALCULATIONS =					\$690.00	<u> </u>
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					S	
SUBTOTAL =					\$690.00	
Processing fee of \$130.00 for furnishing the English translation later than $\Box 20 = \Box 30$ months from the earliest clailmed priority date (37 CFR 1492(f)).					s	
TOTAL NATIONAL FEE =					\$690.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					s	
TOTAL FEES ENCLOSED =					\$690,00	
					Amount to be Refunded:	s
					Charged:	s
 b. :: Please charge c. : The Commission overpayment to NOTE: Where an be filed and grante 		pp-0108 in the amount of p charge any additional fee 0.0108. A duplicate copy of the copy	S 690.00 s which may be ro of this sheet is end 5 has not been ro	net, a petition t	lit any	1.137(a) or (b)) mus
		REGISTRATION	NUMBER: 33.30	2		

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